

**PHARMACOPOEIAL DISCUSSION GROUP
SIGN-OFF DOCUMENT**

CODE: B-02

NAME: Capillary Electrophoresis

REVISION 1

It is understood that sign-off covers the technical content of the draft and each party will adapt it as necessary to conform to the usual presentation of the pharmacopoeia in question; such adaptation includes stipulation of the particular pharmacopoeia's reference materials and general chapters.

Harmonised provisions:

Provision	EP	IP	JP	USP
General Principles	+	+	+	+
Apparatus	+	+	+	+
Capillary Zone Electrophoresis	+	+	+	+
Capillary Gel Electrophoresis	+	+	+	+
Capillary Isoelectric Focusing	+	+	+	+
Micellar Electrokinetic Chromatography (MEKC)	+	+	+	+
Quantitation	+	+	+	+
System suitability	+	+	+	+
Adjustments of Operating Conditions for Capillary Electrophoresis	+	+	+	+

Legend

+ will adopt and implement

- will not stipulate

B-02

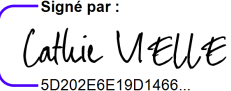
Revision 1

March 2026

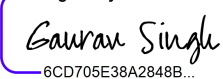
Non-harmonised parts:

EP	None
IP	None
JP	None
USP	None

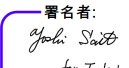
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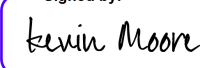
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B-02 CAPILLARY ELECTROPHORESIS

GENERAL PRINCIPLES

Capillary electrophoresis is a physical technique of analysis based on the migration, inside a capillary, of charged analytes dissolved in an electrolyte solution, under the influence of a direct-current electric field.

The migration velocity of an analyte under an electric field of intensity E , is determined by the electrophoretic mobility of the analyte and the electro-osmotic mobility of the buffer inside the capillary. The electrophoretic mobility of a solute (μ_{ep}) depends on the characteristics of the solute (electric charge, molecular size and shape) and those of the buffer in which the migration takes place (type and ionic strength of the electrolyte, pH, viscosity and additives). The electrophoretic velocity (v_{ep}) of a solute, assuming a spherical shape, is given by the equation:

$$v_{ep} = \mu_{ep} E = (q / (6\pi\eta r)) \times (V / L)$$

q = effective charge of the solute,

η = viscosity of the electrolyte solution,

r = Stoke's radius of the solute,

V = applied voltage,

L = total length of the capillary.

When an electric field is applied through the capillary filled with buffer, a flow of solvent is generated inside the capillary, called electro-osmotic flow (EOF). The velocity of the EOF depends on the electro-osmotic mobility (μ_{eo}) which in turn depends on the charge density on the capillary internal wall and the buffer characteristics. The electro-osmotic velocity (v_{eo}) is given by the equation:

$$v_{eo} = \mu_{eo} E = ((\epsilon\zeta) / \eta) \times (V / L)$$

ϵ = dielectric constant of the buffer,

ζ = zeta potential of the capillary surface.

The velocity of the solute (v) is given by:

$$v = v_{ep} + v_{eo}$$

The electrophoretic mobility of the analyte and the electro-osmotic mobility may act in the same direction or in opposite directions, depending on the charge of the solute. In normal capillary electrophoresis, anions will migrate in the opposite direction to the EOF and their velocities will be smaller than the electro-osmotic velocity. Cations will migrate in the same direction as the EOF and their velocities will be greater than the electro-

1 osmotic velocity. Under conditions in which there is a fast electro-osmotic velocity with
 2 respect to the electrophoretic velocity of the solutes, both cations and anions can be
 3 separated in the same run.

4
 5 The time (t) taken by the solute to migrate the distance (l) from the injection end of the
 6 capillary to the detection point (capillary effective length) is given by the expression:

$$7 \quad t = l / (v_{ep} + v_{eo}) = (l \times L) / ((\mu_{ep} + \mu_{eo}) V)$$

8
 9
 10 In general, uncoated fused silica capillaries above pH 3 have negative charge due to
 11 ionized silanol groups in the inner wall. Consequently, the EOF is from anode to
 12 cathode. It is recommended that the EOF is maintained constant from run to run if good
 13 reproducibility is to be obtained in the migration velocity of the solutes. For some
 14 applications, it may be necessary to reduce or suppress the EOF by modifying the inner
 15 wall of the capillary or by changing the concentration, composition, and/or pH of the
 16 buffer solution.

17
 18 After the introduction of the sample into the capillary, each analyte ion of the sample
 19 migrates within the background electrolyte as an independent zone, according to its
 20 electrophoretic mobility. Zone dispersion, that is the spreading of each solute band,
 21 results from different phenomena. Under ideal conditions the sole contribution to the
 22 solute-zone broadening is molecular diffusion of the solute along the capillary
 23 (longitudinal diffusion).

24 In this ideal case the efficiency of the zone, expressed as the parameter N
 25 corresponding to the apparent number of theoretical plates, is given by:

$$26 \quad N = ((\mu_{ep} + \mu_{eo}) \times V \times l) / (2 \times D \times L)$$

27
 28
 29 D = molecular diffusion coefficient of the solute in the buffer.

30
 31 In practice, other phenomena such as heat dissipation, sample adsorption onto the
 32 capillary wall, mismatched conductivity between sample and buffer, length of the
 33 injection plug, detector cell size and unlevelled buffer reservoirs can also significantly
 34 contribute to band dispersion.

35
 36 Separation between two bands (expressed as the resolution, R_s) can be obtained by
 37 modifying the electrophoretic mobility of the analytes, the electro-osmotic mobility
 38 induced in the capillary and by increasing the efficiency for the band of each analyte,
 39 according to the equation:

$$40 \quad R_s = (\sqrt{N} (\mu_{epb} - \mu_{epa})) / (4(\bar{\mu}_{ep} + \mu_{eo}))$$

41
 42
 43 μ_{epa} and μ_{epb} = electrophoretic mobilities of the two analytes separated,

44 $\bar{\mu}_{ep}$ = mean electrophoretic mobility of the two analytes.

$$45 \quad \bar{\mu}_{ep} = 1/2 (\mu_{epb} + \mu_{epa})$$

46

1 APPARATUS

2
3 An apparatus for capillary electrophoresis is composed of :
4 — a high-voltage, controllable direct-current power supply,
5 — two buffer reservoirs, held at the same level, containing the prescribed anodic and
6 cathodic solutions,
7 — two electrode assemblies (the cathode and the anode), immersed in the buffer
8 reservoirs and connected to the power supply,
9 — a separation capillary (usually made of fused silica) which, when used with some
10 specific types of detectors, has an optical viewing window aligned with the detector. The
11 ends of the capillary are placed in the buffer reservoirs. The capillary is filled with the
12 solution prescribed in the monograph,
13 — a suitable injection system,
14 — a detector able to monitor the amount of substances of interest passing through a
15 segment of the separation capillary at a given time. It is usually based on absorption
16 spectrophotometry (UV and visible) or fluorimetry, but conductimetric, amperometric as
17 well as mass spectrometric detection can be useful for specific applications. Indirect
18 detection is an alternative method used to detect non-UV-absorbing and non-fluorescent
19 compounds,
20 — a thermostatic system able to maintain a constant temperature inside the capillary is
21 recommended to obtain a good separation reproducibility,
22 — a data acquisition system.

23
24 The definition of the injection process and its automation are critical for precise
25 quantitative analysis. Modes of injection include hydrodynamic injection and
26 electrokinetic injection. The amount of each sample component introduced
27 electrokinetically depends on its electrophoretic mobility, leading to possible
28 discrimination using this injection mode.

29
30 **Hydrodynamic injection**
31 The sample is injected into the capillary by applying a pressure difference (Δp) between
32 the ends of the capillary. The volume of sample injected can be calculated by the
33 Hagen-Poiseuille equation:

$$34 \quad V_{inj} = (\Delta p d_i^4 \pi t_{inj}) / (128 \eta L)$$

35
36
37 t_{inj} = injection time,
38 η = dynamic viscosity of the buffer,
39 d_i = internal diameter of the capillary,
40 L = total length of the capillary.

41
42 According to the equation, under constant pressure, the injection volume decreases
43 when the length of the capillary is increased. However, the internal diameter of the
44 capillary (d_i) has a dominant influence on the injection volume, since doubling the
45 capillary internal diameter allows the injection of 4 times as much sample while keeping
46 the plug length (l_{inj}) constant.

47

$$l_{inj} = (\Delta\rho d_i^2 t_{inj}) / (32 \eta L)$$

Electrokinetic injection

In this mode of injection, analytes are injected into the capillary by applying an electrical field (E) across the capillary. Neutral analytes migrate into the capillary with the EOF whereas the charged analytes move according to their own electrophoretic mobilities and to the EOF ($\mu_{ep} + \mu_{eo}$). The amount of each analyte injected depends on its apparent mobility. In contrast to the hydrodynamic mode of injection, this is therefore a discriminative mode and consequently, the analyte sample vial may only be used for one injection, since the concentration of the analytes in the remaining sample will change after injection.

The injection amount (Q_{inj}) of each analyte can be calculated from the following equation:

$$Q_{inj} = (E k_b \mu_{app} t_{inj} \pi d_i^2 c_s) / 4 k_s$$

μ_{app} = apparent electrophoretic mobility ($=\mu_{ep}+\mu_{eo}$)

t_{inj} = injection time,

k_b/k_s = ratio of conductivities of the background electrolyte and sample,

c_s = concentration of the analyte in the sample.

The plug length (l_{inj}) is not dependent on the capillary internal diameter and can be estimated from the following equation:

$$l_{inj} = E (k_b/k_s) \mu_{app} t_{inj}$$

This injection mode is mainly used for capillary gel electrophoresis using viscous gel or polymer solution.

For fused silica capillaries, the following internal diameter tolerance is recommended:

Capillary internal diameter	Recommended tolerance
25 μm	$\pm 2 \mu\text{m}$
50 μm	$\pm 3 \mu\text{m}$
75 μm	$\pm 3 \mu\text{m}$

Use the capillary, the buffer solutions, the preconditioning method, the sample solution and the migration conditions prescribed in the monograph of the considered substance.

The electrolyte solution employed is filtered to remove particles and degassed to avoid bubble formation that could interfere with the detection system or interrupt the electrical contact in the capillary during the separation run. A rigorous rinsing procedure should be developed for each analytical method to achieve reproducible migration times of the solutes.

1 CAPILLARY ZONE ELECTROPHORESIS

2 3 **PRINCIPLE**

4
5 In capillary zone electrophoresis, analytes are separated in a capillary containing only
6 buffer without any anticonvective medium. With this technique, separation takes place
7 because the different components of the sample migrate as discrete bands with different
8 velocities. The velocity of each band depends on the electrophoretic mobility of the
9 solute and the EOF in the capillary (see General Principles).

10 Coated capillaries can be used to increase the separation capacity of those substances
11 adsorbing on fused silica surfaces.

12 Using this mode of capillary electrophoresis, the analysis of both small (relative
13 molecular mass (M_r) < 2000) and large molecules (M_r > 2000) can be accomplished.
14 Due to the high efficiency achieved in capillary zone electrophoresis, separation of
15 molecules having only minute differences in their charge-to-size ratio can be effected;
16 size referring to hydrodynamic size or hydrodynamic volume. This separation mode also
17 allows the separation of chiral compounds by addition of chiral selectors to the
18 separation buffer.

19 20 **OPTIMISATION**

21
22 Optimisation of the separation is a complex process where several separation
23 parameters can play a major role. The main factors to be considered in the development
24 of separations are instrumental and electrolyte solution parameters.

25 26 **Instrumental parameters**

27
28 *Voltage.* A Joule heating plot is useful in optimizing the applied voltage and capillary
29 temperature. Separation time is inversely proportional to applied voltage. However, an
30 increase in the voltage used can cause excessive heat production, giving rise to
31 temperature and, as a result thereof, viscosity gradients in the buffer inside the capillary.
32 This effect causes band broadening and decreases resolution.

33
34 *Polarity.* Electrode polarity can be normal (anode at the inlet and cathode at the outlet)
35 and the EOF will move toward the cathode. If the electrode polarity is reversed, the EOF
36 is away from the outlet and only charged analytes with electrophoretic mobilities greater
37 than the EOF will pass to the outlet.

38
39 *Temperature.* The main effect of temperature is observed on buffer viscosity and
40 electrical conductivity, and therefore on migration velocity. In some cases, an increase in
41 capillary temperature can cause a conformational change in proteins, modifying their
42 migration time and the efficiency of the separation.

43
44 *Capillary.* The dimensions of the capillary (length and internal diameter) contribute to
45 analysis time, efficiency of separations and load capacity. Increasing both effective
46 length and total length decreases the electric field (working at constant voltage) which
47 increases migration time. For a given buffer and electric field, heat dissipation, and

1 hence sample band-broadening, depends on the internal diameter of the capillary. The
2 latter also affects the detection limit, depending on the sample volume injected and the
3 detection system employed.

4
5 Since the adsorption of the sample components on the capillary wall limits efficiency,
6 methods to avoid these interactions should be considered in the development of a
7 separation method. In the specific case of proteins, several strategies have been
8 devised to avoid adsorption on the capillary wall. Some of these strategies (use of
9 extreme pH and adsorption of positively charged buffer additives) only require
10 modification of the buffer composition to prevent protein adsorption. In other strategies,
11 the internal wall of the capillary is coated with a polymer, covalently bonded to the silica,
12 that prevents interaction between the proteins and the negatively charged silica surface.
13 For this purpose, ready-to-use capillaries with coatings consisting of neutral-hydrophilic,
14 cationic and anionic polymers are available.

15 16 **Electrolyte solution parameters**

17
18 *Buffer type and concentration.* Suitable buffers for capillary electrophoresis have an
19 appropriate buffer capacity in the pH range of choice and low mobility to minimise
20 current generation.

21
22 Matching buffer-ion mobility to solute mobility, whenever possible, is important for
23 minimising band distortion. The type of sample solvent used is also important to achieve
24 on-column sample focusing, which increases separation efficiency and improves
25 detection.

26
27 An increase in buffer concentration (for a given pH) decreases EOF and solute velocity.

28
29 *Buffer pH.* The pH of the buffer can affect separation by modifying the charge of the
30 analyte or additives, and by changing the EOF. In protein and peptide separation,
31 changing the pH of the buffer from above to below the isoelectric point (pI) changes the
32 net charge of the solute from negative to positive. An increase in the buffer pH generally
33 increases the EOF.

34
35 *Organic solvents.* Organic modifiers (methanol, acetonitrile, etc.) may be added to the
36 aqueous buffer to increase the solubility of the solute or other additives and/or to affect
37 the degree of ionisation of the sample components. The addition of these organic
38 modifiers to the buffer generally causes a decrease in the EOF.

39
40 *Additives for chiral separations.* For the separation of enantiomers, a chiral selector is
41 added to the separation buffer. The most commonly used chiral selectors are
42 cyclodextrins, but crown ethers, polysaccharides and proteins may also be used. Since
43 chiral recognition is governed by the different interactions between the chiral selector
44 and each of the enantiomers, the resolution achieved for the chiral compounds depends
45 largely on the type of chiral selector used. Other factors controlling the resolution in
46 chiral separations are concentration of chiral selector, composition and pH of the buffer
47 and temperature. The use of organic additives, such as methanol or urea can also

1 modify the resolution achieved. For the development of a given separation it may be
2 useful to test cyclodextrins having a different cavity size (α -, β -, or γ -cyclodextrin) or
3 modified cyclodextrins with neutral (methyl, ethyl, hydroxyalkyl, etc.) or ionic/ionisable
4 (aminomethyl, carboxymethyl, sulfobutyl ether, etc.) groups. When using modified
5 cyclodextrins, batch-to-batch variations in the degree of substitution of the cyclodextrins
6 need to be taken into account since it will influence the selectivity.

8 CAPILLARY GEL ELECTROPHORESIS

10 PRINCIPLE

12 In capillary gel electrophoresis, separation takes place inside a capillary filled with a gel
13 that acts as a molecular sieve, under conditions where the EOF is suppressed.
14 Molecules with similar charge-to-size ratios are separated according to molecular size
15 since smaller molecules move more freely through the network of the gel and therefore
16 migrate faster than larger molecules. Different biological macromolecules (for example,
17 DNA fragments and SDS-treated proteins), which often have similar charge-to-size
18 ratios, can thus be separated according to their molecular hydrodynamic size by
19 capillary gel electrophoresis.

21 CHARACTERISTICS OF GELS

23 Two types of gel are used in capillary electrophoresis: cross-linked gels and entangled
24 linear polymer solutions.

26 Cross-linked gels are prepared inside the capillary by polymerisation of the monomers.
27 They are usually bonded to the fused silica wall and cannot be removed without
28 destroying the capillary. If the gels are used for protein analysis under reducing
29 conditions, the separation buffer usually contains sodium dodecyl sulfate and the
30 samples are denatured by heating in a mixture of sodium dodecyl sulfate and 2-
31 mercaptoethanol or dithiothreitol before injection. When non-reducing conditions are
32 used (for example analysis of an intact antibody), 2-mercaptoethanol and dithiothreitol
33 are not used. Separation in cross-linked gels can be optimised by modifying the
34 separation buffer (as indicated in the capillary zone electrophoresis section) and
35 controlling the gel pore size during the gel preparation. For cross-linked polyacrylamide
36 gels, the pore size can be modified by changing the concentration of acrylamide and/or
37 the proportion of cross-linker. As a rule, a decrease in the pore size of the gel leads to a
38 decrease in the mobility of the solutes. Due to the rigidity of these gels, only
39 electrokinetic injection can be used.

41 Entangled linear polymer solutions contain hydrophilic polymers, such as linear
42 polyacrylamide, cellulose derivatives, dextran, etc., which can be dissolved in aqueous
43 separation buffers giving rise to a separation medium that also acts as a molecular
44 sieve. These separation media are easier to prepare than cross-linked polymers. They
45 can be prepared in a vial and filled by pressure in a capillary. Replacing the gel before
46 every injection generally improves the separation reproducibility. The dynamic pore size
47 of the gels can be increased by using polymers of higher molecular mass (at a given

1 polymer concentration) or by decreasing the polymer concentration (for a given polymer
2 molecular mass). A reduction in the gel dynamic pore size leads to a decrease in the
3 mobility of the solute for the same buffer. Since the dissolution of these polymers in the
4 buffer gives low viscosity solutions, both hydrodynamic and electrokinetic injection
5 techniques can be used.

9 CAPILLARY ISOELECTRIC FOCUSING

11 PRINCIPLE

13 In isoelectric focusing, the molecules migrate under the influence of the electric field until
14 they reach their isoelectric point, in a pH gradient generated by ampholytes having pI
15 values in a wide range (poly-aminocarboxylic acids), dissolved in the separation buffer.

17 The three basic steps of isoelectric focusing are loading, focusing and, if needed,
18 mobilisation.

20 **Loading step.** Two methods may be employed:

21 — loading in one step: the sample is mixed with ampholytes and introduced into the
22 capillary either by pressure or vacuum;

24 — sequential loading: a leading buffer, then the ampholytes, then the sample mixed
25 with ampholytes, again ampholytes alone and finally the terminating buffer are
26 introduced into the capillary. The volume of the sample is kept small enough not to
27 modify the pH gradient.

29 **Focusing step.** When the voltage is applied, ampholytes migrate toward the cathode or
30 the anode, according to their net charge, thus creating a pH gradient from anode (lower
31 pH) to cathode (higher pH). During this step the components to be separated migrate
32 until they reach a pH corresponding to their isoelectric point (pI) and the current drops to
33 very low values.

35 **Mobilisation step.** Unless imaging is used, mobilisation is required for detection, using
36 one of the following three methods:

38 — in the first method, mobilisation is accomplished during the focusing step under the
39 effect of the EOF; the EOF needs to be small enough to allow the focusing of the
40 components ;

42 — in the second method, mobilisation is accomplished by applying positive pressure
43 after the focusing step;

44 — in the third method, mobilisation is achieved after the focusing step by adding salts to
45 the cathode reservoir or the anode reservoir (depending on the direction chosen for
46 mobilisation) in order to alter the pH in the capillary when the voltage is applied. As the
47 pH changes, the proteins and ampholytes are mobilised in the direction of the reservoir

1 which contains the added salts and pass the detector.

2
3 The separation achieved, expressed as ΔpI , depends on the pH gradient (dpH / dx), the
4 number of ampholytes having different pI values, the molecular diffusion coefficient (D),
5 the intensity of the electric field (E) and the variation of the electrophoretic mobility of the
6 analyte with the pH ($-d\mu / dpH$):

$$\Delta pI = 3 \times \sqrt{((D (dpH / dx)) / (E (-d\mu / dpH)))}$$

9 OPTIMISATION

10 The main factors to be considered in the development of separations are:

11
12
13 **Voltage.** Capillary isoelectric focusing uses very high electric fields, 300 V/cm to 1000
14 V/cm in the focusing step.

15
16 **Capillary.** The EOF needs to be reduced or suppressed depending on the mobilisation
17 strategy (see above). Coated capillaries tend to reduce the EOF.

18
19 **Solutions.** The anode buffer reservoir is filled with a solution with a pH lower than the pI
20 of the most acidic ampholyte and the cathode reservoir is filled with a solution with a pH
21 higher than the pI of the most basic ampholyte. Phosphoric acid for the anode and
22 sodium hydroxide for the cathode are frequently used.

23
24 Addition of a polymer, such as methylcellulose, in the ampholyte solution tends to
25 suppress convective forces (if any) and EOF by increasing the viscosity. Commercial
26 ampholytes are available covering many pH ranges and may be mixed if necessary to
27 obtain an expanded pH range. Broad pH ranges are used to estimate the isoelectric
28 point whereas narrower ranges are employed to improve accuracy. Calibration can be
29 done by correlating migration time with isoelectric point for a series of protein markers.

30
31 During the focusing step precipitation of proteins at their isoelectric point can be
32 prevented, if necessary, using buffer additives such as glycerol, surfactants, urea or
33 zwitterionic buffers. However, depending on the concentration, urea denatures proteins.

34 MICELLAR ELECTROKINETIC CHROMATOGRAPHY (MEKC)

36 PRINCIPLE

37
38
39 In micellar electrokinetic chromatography, separation takes place in an electrolyte
40 solution which contains a surfactant at a concentration above the critical micellar
41 concentration (cmc). The solute molecules are distributed between the aqueous buffer
42 and the pseudostationary phase composed of micelles, according to the partition
43 coefficient of the solute. The technique can therefore be considered as a hybrid of
44 electrophoresis and chromatography. It is a technique that can be used for the
45 separation of both neutral and charged solutes, maintaining the efficiency, speed and
46 instrumental suitability of capillary electrophoresis. One of the most widely used

1 surfactants in MEKC is the anionic surfactant sodium dodecyl sulfate, although other
2 surfactants, for example cationic surfactants such as cetyltrimethylammonium salts, are
3 also used.

4
5 The separation mechanism is as follows. At neutral and alkaline pH, a strong EOF is
6 generated and moves the separation buffer ions in the direction of the cathode. If
7 sodium dodecyl sulfate is employed as the surfactant, the electrophoretic migration of
8 the anionic micelle is in the opposite direction, towards the anode. As a result, the
9 overall micelle migration velocity is slowed down compared to the bulk flow of the
10 electrolyte solution. In the case of neutral solutes, since the analyte can partition
11 between the micelle and the aqueous buffer, and has no electrophoretic mobility, the
12 analyte migration velocity will depend only on the partition coefficient between the
13 micelle and the aqueous buffer. In the electropherogram, the peaks corresponding to
14 each uncharged solute are always between that of the EOF marker and that of the
15 micelle (the time elapsed between these two peaks is called the separation window). For
16 electrically charged solutes, the migration velocity depends on both the partition
17 coefficient of the solute between the micelle and the aqueous buffer, and on the
18 electrophoretic mobility of the solute in the absence of micelle.

19
20 Since the separation mechanism in MEKC of neutral and weakly ionised solutes is
21 essentially chromatographic, migration of the solute and resolution can be rationalised in
22 terms of the retention factor of the solute (k), also referred to as mass distribution ratio
23 (D_m), which is the ratio of the number of moles of solute in the micelle to those in the
24 mobile phase. For a neutral compound, k is given by:

$$k = (t - t_0) / (t_0 \times (1 - (t / t_{mc}))) = K (V_S / V_M)$$

25
26
27
28 t = migration time of the solute,
29 t_0 = migration time of an unretained solute (determined by injecting an EOF marker
30 which does not enter the micelle, for instance methanol),
31 t_{mc} = micelle migration time (measured by injecting a micelle marker, such as Sudan III,
32 which migrates while continuously associated in the micelle),
33 K = partition coefficient of the solute,
34 V_S = volume of the micellar phase,
35 V_M = volume of the mobile phase.

36
37 Likewise, the resolution between two closely-migrating solutes (R_s) is given by:

$$R_s = (\sqrt{N / 4}) \times ((\alpha - 1) / \alpha) \times (k_b / (k_b + 1)) \times ((1 - (t_0 / t_{mc})) / (1 + (t_0 / t_{mc}) \times k_a))$$

38
39
40
41 N = apparent number of theoretical plates for one of the solutes,
42 α = selectivity,
43 k_a and k_b = retention factors for both solutes, respectively ($k_b > k_a$).

44
45 Similar, but not identical, equations give k and R_s values for electrically charged solutes.

46

1
2 **OPTIMISATION**
3
4 The main factors to be considered in the development of separations by MEKC are
5 instrumental and electrolyte solution parameters.

6
7 **Instrumental parameters**
8

9 *Voltage.* Separation time is inversely proportional to applied voltage. However, an
10 increase in voltage can cause excessive heat production that gives rise to temperature
11 gradients and viscosity gradients of the buffer in the cross-section of the capillary. This
12 effect can be significant with high conductivity buffers such as those containing micelles.
13 Poor heat dissipation causes band broadening and decreases resolution.

14
15 *Temperature.* Variations in capillary temperature affect the partition coefficient of the
16 solute between the buffer and the micelles, the critical micellar concentration and the
17 viscosity of the buffer. These parameters contribute to the migration time of the solutes.
18 The use of a good cooling system improves the reproducibility of the migration time for
19 the solutes.

20
21 *Capillary.* As in capillary zone electrophoresis, the dimensions of the capillary (length
22 and internal diameter) contribute to analysis time and efficiency of separations.
23 Increasing both effective length and total length decreases the electric field (working at
24 constant voltage), increases migration time and can improve the separation efficiency.
25 The internal diameter controls heat dissipation (for a given buffer and electric field) and
26 consequently the sample band broadening.

27
28 **Electrolyte solution parameters**
29

30 *Surfactant type and concentration.* The type of surfactant, in the same way as the
31 stationary phase in chromatography, affects the resolution since it modifies separation
32 selectivity. Also, the log k of a neutral compound increases linearly with the
33 concentration of surfactant in the mobile phase. Since resolution in MEKC reaches a
34 maximum when k approaches the value of $\sqrt{(t_{mc} / t_0)}$, modifying the concentration of
35 surfactant in the mobile phase changes the resolution obtained.

36
37 *Buffer pH.* Although pH does not modify the partition coefficient of non-ionised solutes, it
38 can modify the EOF in uncoated capillaries. A decrease in the buffer pH decreases the
39 EOF and therefore increases the resolution of the neutral solutes in MEKC, resulting in a
40 longer analysis time.

41
42 *Organic solvents.* To improve MEKC separation of hydrophobic compounds, organic
43 modifiers (methanol, propanol, acetonitrile, etc.) can be added to the electrolyte solution.
44 The addition of these modifiers can influence the migration time and the selectivity of the
45 separation. Since the addition of organic modifiers affects the critical micellar
46 concentration, a given surfactant concentration can be used only within a certain
47 percentage of organic modifier before the micellisation is inhibited or adversely affected,

1 resulting in the absence of micelles and, therefore, in the absence of partition. The
2 dissociation of micelles in the presence of a high content of organic solvent does not
3 always mean that the separation will no longer be possible; in some cases the
4 hydrophobic interaction between the ionic surfactant monomer and the neutral solutes
5 forms solvophobic complexes that can be separated electrophoretically.

6
7 *Additives for chiral separations.* For the separation of enantiomers using MEKC, a chiral
8 selector is included in the micellar system, either covalently bound to the surfactant or
9 added to the micellar separation electrolyte. Micelles that have a moiety with chiral
10 discrimination properties include salts of *N*-dodecanoyl-L-amino acids, bile salts, etc.
11 Chiral resolution can also be achieved using chiral discriminators, such as cyclodextrins,
12 added to the electrolyte solutions which contain micellised achiral surfactants.

13
14 *Other additives.* Several strategies can be carried out to modify selectivity, by adding
15 chemicals to the buffer. The addition of several types of cyclodextrins to the buffer can
16 also be used to reduce the interaction of hydrophobic solutes with the micelle, thus
17 increasing the selectivity for this type of compound.

18
19 The addition of substances able to modify solute-micelle interactions by adsorption on
20 the latter, is used to improve the selectivity of the separations in MEKC. These additives
21 may be a second surfactant (ionic or non-ionic) which gives rise to mixed micelles or
22 metallic cations which dissolve in the micelle and form co-ordination complexes with the
23 solutes.

24 25 **QUANTITATION**

26
27 With the exception of capillary isoelectric focusing, peak areas are typically divided by
28 the corresponding migration time to give the corrected area in order to:

- 29
30 — compensate for the shift in migration time from run to run, thus reducing the variation
31 of the response,
32 — compensate for the different responses of sample constituents with different
33 migration times.

34
35 Where an internal standard is used, verify that no peak of the substance to be examined
36 is masked by that of the internal standard.

37 38 **CALCULATIONS**

39
40 From the values obtained, calculate the content of the component or components being
41 examined. When prescribed, the percentage content of one or more components of the
42 sample to be examined is calculated by determining the corrected area(s) of the peak(s)
43 as a percentage of the total of the corrected areas of all peaks, excluding those due to
44 solvents or any added reagents (normalisation procedure). The use of an automatic
45 integration system (integrator or data acquisition and processing system) is
46 recommended.

1
2 **SYSTEM SUITABILITY**
3
4 In order to check the behaviour of the capillary electrophoresis system, system suitability
5 parameters are used. The choice of these parameters depends on the mode of capillary
6 electrophoresis used. They can include: retention factor (k) (only for micellar
7 electrokinetic chromatography), apparent number of theoretical plates (N), symmetry
8 factor (A_s) and resolution (R_s). In previous sections, the theoretical expressions for N
9 and R_s have been described, but more practical equations that allow these parameters
10 to be calculated from the electropherograms are given below.

11 12 **APPARENT NUMBER OF THEORETICAL PLATES**

13
14 The apparent number of theoretical plates (N) may be calculated using the expression:

$$15 \quad N = 5.54 (t / w_h)^2$$

16
17
18 t = migration time or distance along the baseline from the point of injection to the
19 perpendicular dropped from the maximum of the peak corresponding to the component,
20 w_h = width of the peak at half-height.

21 22 **RESOLUTION**

23
24 The resolution (R_s) between peaks of similar height of two components may be
25 calculated using the expression:

$$26 \quad R_s = ((1.18 (t_2 - t_1)) / (w_{h1} + w_{h2}))$$

$$27 \quad t_2 > t_1$$

28
29
30 t_1 and t_2 = migration times or distances along the baseline from the point of injection to
31 the perpendiculars dropped from the maxima of two adjacent peaks,
32 w_{h1} and w_{h2} = peak widths at half-height.

33
34
35 When appropriate, the resolution may be calculated by measuring the height of the
36 valley (H_v) between two partly resolved peaks in a standard preparation and the height
37 of the smaller peak (H_p) and calculating the peak-to-valley ratio:

$$38 \quad p / v = H_p / H_v$$

39 40 41 **SYMMETRY FACTOR**

42
43 The symmetry factor (A_s) of a peak may be calculated using the expression:

$$44 \quad A_s = w_{0.05} / 2d$$

45
46
47 $w_{0.05}$ = width of the peak at one-twentieth of the peak height,

1 d = distance between the perpendicular dropped from the peak maximum and the
2 leading edge of the peak at one-twentieth of the peak height.

4 **REPEATABILITY**

6 Tests for area repeatability (standard deviation of areas or of the area/migration-time
7 ratio) and for migration time repeatability (standard deviation of migration time) are
8 introduced as suitability parameters. Migration time repeatability provides a test for the
9 suitability of the capillary washing procedures. An alternative practice to compensate for
10 the lack of repeatability of the migration time is to use migration time relative to an
11 internal standard.

15 **SIGNAL-TO-NOISE RATIO**

17 The detection limit and quantitation limit can be estimated using signal-to-noise ratios of
18 3 and 10 respectively. The signal-to-noise ratio (S/N) is calculated using the expression:

$$20 \quad S / N = 2H / h$$

22 H = height of the peak corresponding to the component concerned, in the
23 electropherogram obtained with the prescribed reference solution, measured from the
24 maximum of the peak to the extrapolated baseline of the signal observed over a
25 distance equal to twenty times the width at half-height unless otherwise justified,

26 h = range of the background in an electropherogram obtained after injection of a blank,
27 observed over a distance equal to twenty times the width at the half-height of the peak
28 unless otherwise justified, in the electropherogram obtained with the prescribed
29 reference solution and, if possible, situated equally around the place where this peak
30 would be found.

32 A test for the verification of the signal-to-noise ratio for a standard preparation (or the
33 determination of the limit of quantitation) may also be useful for the determination of
34 related substances.

38 **ADJUSTMENTS OF OPERATING CONDITIONS FOR CAPILLARY 39 ELECTROPHORESIS**

41 The operating conditions described in the pharmacopoeial procedures were validated
42 during the elaboration of the monograph. Compliance with the system suitability criteria
43 is required to verify that conditions for satisfactory performance of the test or assay are
44 achieved.

45 Capillary length may be adjusted to suit the individual dimensions of the capillary
46 electrophoresis instrument used. If a capillary with a different length is used, its
47 suitability for use should be verified.

1 If the intended analytical performance is not met either due to the instrument or to
2 different capillary lengths, then:
3 - the voltage,
4 - rinsing conditions,
5 - temperature settings,
6 - refreshment frequency of the electrolyte solution at the inlet and outlet,
7 - and injection conditions
8 may be adjusted to satisfy the analytical performance, provided these adjustments are
9 properly evaluated by the users and do not fundamentally modify the pharmacopoeial
10 procedures. Additional verification tests and/or revalidation for any adjustments made
11 may be required.
12 No further changes are permitted, unless otherwise prescribed in the individual
13 monograph or justified.