

# Intended use of Reference Standards: key role of compendial RS in quality measurements

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## PHARMACEUTICAL REFERENCE STANDARDS - INTENDED USE

### #1 Reference Standards are part of analytical procedures

Sources:

ICH Q2 R1 Guideline, Glossary

FDA *Analytical Procedures and Methods Validation for Drugs and Biologics*,  
Guidance for Industry, IV.B, V July 2015



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## **#2 Analytical procedures must be validated**

Sources:

ICH Q2 R1 Guideline

EU GMP 6.15



## **#3 Analytical Procedure Validation: demonstration of suitability for the intended use**

Source: ICH Q2 R1 Guideline



## PHARMACEUTICAL REFERENCE STANDARDS - INTENDED USE



**Reference Standards must be suitable for their intended use**

Sources:

Ph. Eur. Chapter 5.12. paragraph 3

FDA *Analytical Procedures and Methods Validation for Drugs and Biologics*, Guidance for Industry, V July 2015

ISO 17034:2016 3.3



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## INTENDED USE OF PHARMACEUTICAL REFERENCE STANDARDS

### Categories of RS

<b>Compendial RS</b>	<b>Pharmacopoeias (all types)</b>
<b>International RS</b>	<b>WHO international standards</b>
<b>Company RS</b>	<b>In-house, working, R&amp;D standards</b>
<b>Commercial RS</b>	<b>Reagents, chemicals, herbal standards</b>
<b>Reference materials</b>	<b>General use</b>



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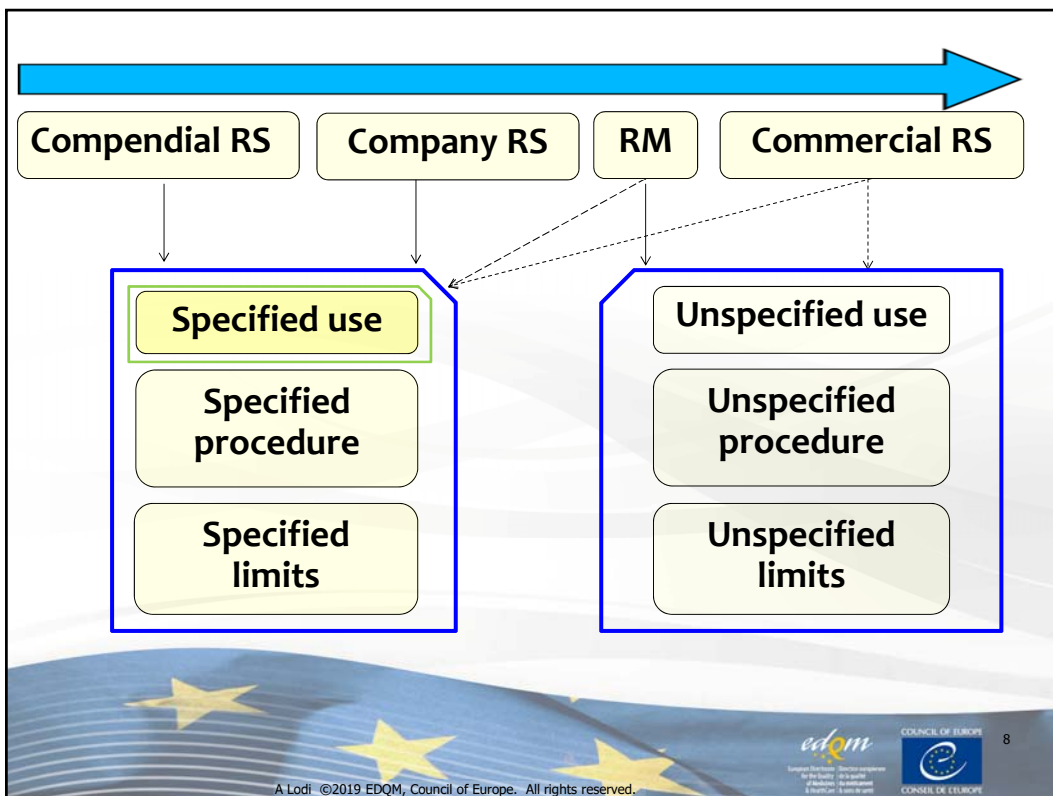
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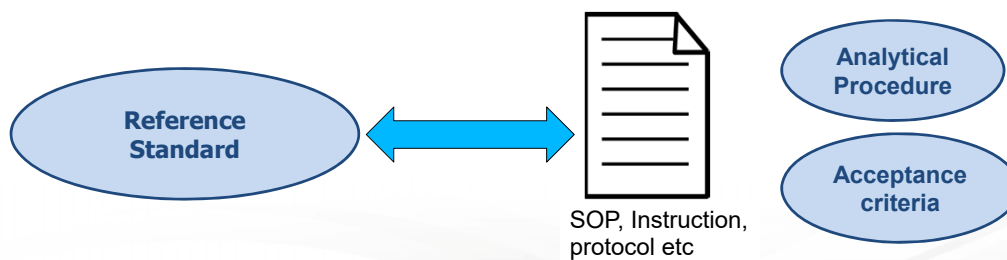
## INTENDED USE OF PHARMACEUTICAL REFERENCE STANDARDS

Types of RS: where they belong

<b>Compendial RS</b>	<b>Corresponding compendium</b>
<b>International RS</b>	<b>WHO report (ex. biological standardisation)</b>
<b>Company RS</b>	<b>Company document (SOP, instruction etc)</b>
<b>Commercial RS</b>	<b>Info provided to users</b>
<b>Reference materials</b>	<b>RM document (ISO Guide 17034 3.6)</b>

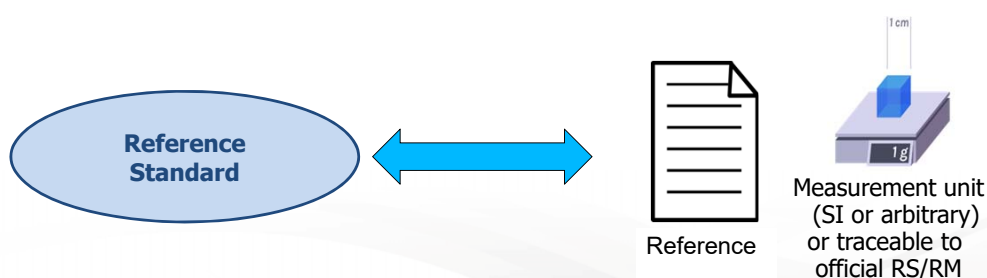


## Company Reference Standards



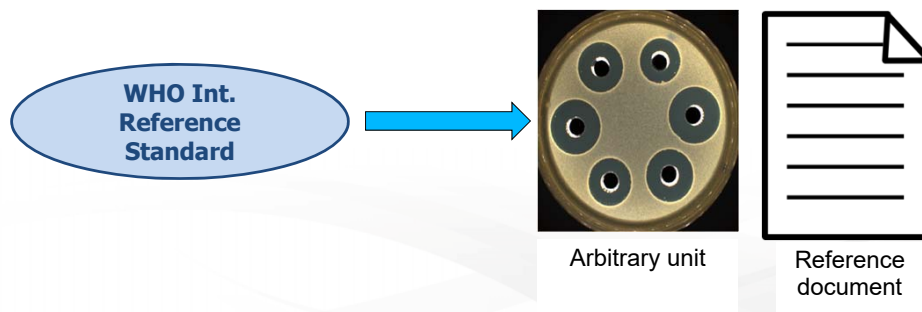
Quality Control  
Development (method, product, etc)  
Stability studies  
Method validation  
Method transfer  
Creating Working Standards  
....

## Commercial Reference Standards



Quality Control  
Development (method)  
Stability studies  
Method validation  
...

# International Reference Standards



Creating Working Standards  
Quality Control

## Compendial Standards

normally consist of

- **documentary standards**  
i.e. monographs (general and specific), general chapters;
- **physical standards**  
i.e. reference standards, reference reagents, reference spectra.

Monographs, chapters



Reference standards



**A Ph. Eur. reference standard referred to in a monograph or general chapter represents the official standard that is **alone authoritative** in case of doubt or dispute.**

*Ph. Eur. General Chapter 5.12.*

**Where USP or NF tests or assays call for the use of a USP Reference Standard, **only** those results obtained using the specified USP Reference Standard are **conclusive**.**

*USP–NF General Notices Section 5.80*



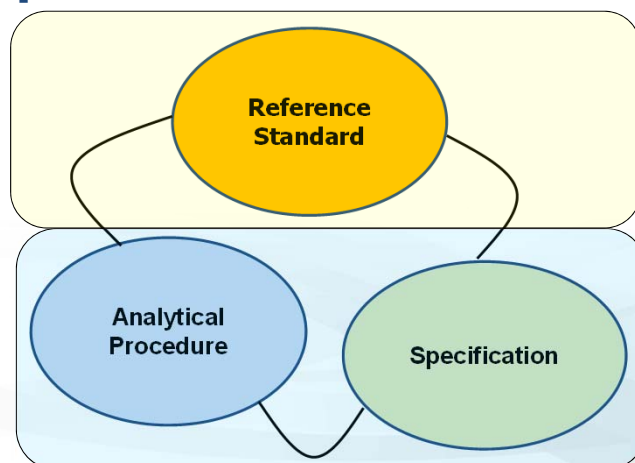
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## Compendial Reference Standards



To test and interpret result according to compendium



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## **Compendial standards, what is unique?**

**For a given test article (substance to be tested), they encompass and link:**

- **the analytical measurement procedure,**
- **the analytical benchmark (reference standard)**
- **the way to interpret the test results (limits).**




## **Compendial RS - intended use**


- **Identification, Peak identification**
- **Assay, Potency, External standard**
- **System suitability / method performance**
- **Verification of a measurement system**



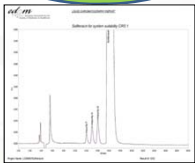
## Compendial Reference Standards by purpose




**BATCH TESTING**  
Ex. Assay, Potency,  
Purity,  
Identification





**VERIFICATION OF  
SYSTEM SUITABILITY**  
Ex. in chromatography



**VERIFICATION OF  
A MEASUREMENT  
INSTRUMENT**  
Ex. KF equipment





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## Compendial Reference Standards

- thorough scientific characterisation
- multiple, independent laboratories
- officially approved by an official, authoritative, independent body
- holistic support (leaflet, batch validity statement, helpdesk, training)
- kept in sync with monographs / chapters.

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**Suitable for the intended use does not mean characterised *just* for the intended use.**

**RS for identification**

**tested against the other sections of the corresponding monograph + non-compendial methods**

**RS for assay**

- assigned content checked with orthogonal methods
- content value (replacement batches) vs previous batch
- RS for API suitable (under certain conditions) for finished products.



## Ph. Eur. General Chapter 5.12. Reference Standards

A European Pharmacopoeia reference standard with an assigned content/potency for use in the assay of a substance for pharmaceutical use (...) **may be suitable to determine the content/potency of that substance in a pharmaceutical preparation** provided all of the following conditions are fulfilled:

- the chromatographic assay method described in the active substance monograph is employed;
- the applicability of the method to the particular pharmaceutical preparation (absence of interference) is verified by the user;
- any pre-treatment of the sample (e.g. extraction, filtration) is validated for the particular pharmaceutical preparation.





EUROPEAN COMMISSION  
HEALTH AND CONSUMERS DIRECTORATE-GENERAL  
Health systems and products  
Medicinal products – quality, safety and efficacy

Brussels, 28 March 2014

**EudraLex**

**The Rules Governing Medicinal Products in the European Union**

**Volume 4  
EU Guidelines for  
Good Manufacturing Practice for  
Medicinal Products for Human and Veterinary Use**

**Part 1  
Chapter 6: Quality Control**

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## 6.20

**Reference standards** should be established as suitable for their **intended use**. Their qualification and certification as such should be clearly stated and documented.

Whenever **compendial reference standards from an official source** exist, these should preferably be used as primary reference standards unless fully justified

the use of secondary standards is permitted once their traceability to primary standards has been demonstrated and is documented.

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## Reference Standards in general

- essential part of analytical procedures;
- established for their intended use;
- intended use more or less well-defined depending on the type of RS.

## Compendial Reference Standards

- essential part of compendial standards;
- underpin compendial analytical procedures and specification limits
- different role than company RS, commercial RS, RMs and WHO IS
- extensively characterised, using state-of-the-art technology
- ensure ongoing regulatory compliance and risk minimisation.



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# Welcome



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## Reference Standards and Traceability (to documentary standards and secondary standards)

**Ravi Reddy**

Sr. Director, Reference Standards Evaluation

March 13, 2019



## Agenda

- ▶ USP Reference Standards: definition, history and types
- ▶ Development of USP Reference Standards
- ▶ Reference Standards traceability



# 1

## USP Reference Standards

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## USP Reference Standards: definition

- ▶ Highly characterized specimens of
  - Drug substances
  - Excipients
  - Impurities
  - Biologics
  - Food Ingredients
  - Dietary Supplements
  - Performance Test Tablets



## USP Reference Standards

**Reference Standards are used as an important part of measurements and establishing comparability and traceability**

- ▶ Method Validation
- ▶ Method Verification
- ▶ Method Uncertainty
- ▶ Calibration
- ▶ Quality Control
- ▶ Quality Assurance



## USP Reference Standards



- ▶ Rigorously tested within USP Labs, Industry, and Government Labs
  - Controlled by internal SOPs, manuals and quality systems
  - Intended for use in Compendial Methods
  - Users are responsible for determining the suitability of use for non-USP compendial use

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## USP Reference Standards: History



- ▶ USP Reference Standards History
  - USP X–1926: First mention of future availability
  - USP XI–1936: First list of USP Reference Standards (6 standards)
  - Over 80 years of history and experience
  - Less than 200 in 1965 to more than 3600 in 2018
  - Several hundred standards are at various stages of development



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## USP Reference Standards: Types

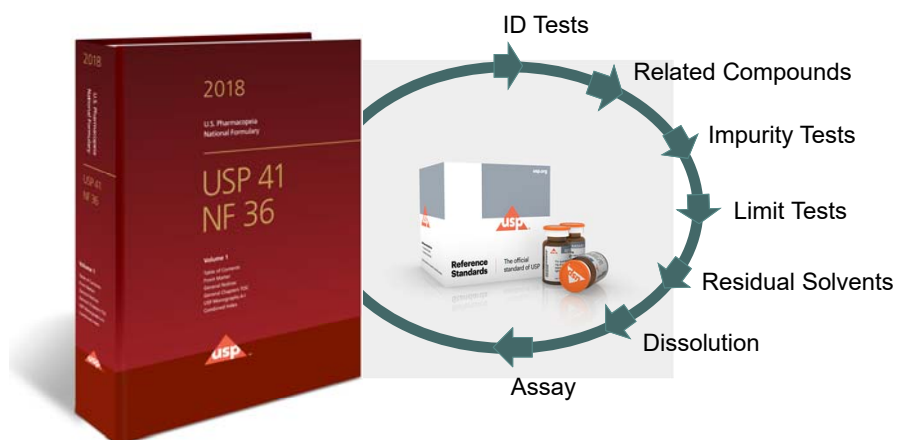
- ▶ Quantitative
  - Assay - Generally > 99.5 % HPLC Purity
  - Impurities - Generally > 98 % HPLC Purity
- ▶ Qualitative
  - ID, Resolution, peak identification
  - Chromatographic Purity – Generally > 95%
- ▶ Special category
  - Melting point, particle size, dissolution verification tablets
- ▶ Non USP Compendial Use
  - Not required for use in compendial methods
  - Service to industry



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## USP Reference Standards

Directly linked to monographs



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# 2 Development of USP Reference Standards

## USP Reference Standards

### How does the Reference Standards development start?

- ▶ Development of a Reference Standard is triggered by a new/revised monograph or inventory depletion
  - Reference Standards are developed as required by the compendial methods
- ▶ Types of lots
  - F-Lots: Very first lot of Reference Standard linked to New and Revised Monographs
  - Replacement lots are developed when current lot is depleted
  - Continuation lots
- ▶ New Uses for existing Reference Standards
  - Example Qualitative to Quantitative

# Development of a USP Reference Standard



## High level process

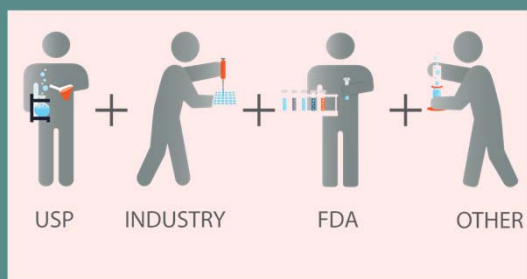


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# Development of a USP Reference Standard



## COLLABORATIVE TESTING



- ▶ Spectroscopic (IR, Raman, UV/Vis)
- ▶ Titration or Elemental Analysis (CHN)
- ▶ Water or Volatiles (KF or LOD)
- ▶ ROI/Sulfated Ash
- ▶ NMR
- ▶ MS
- ▶ Chromatographic (HPLC, GC, TLC)
- ▶ Thermal (TGA, DSC)
- ▶ DVS
- ▶ Other techniques as needed

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# 3 Reference Standards traceability

## USP Assay Reference Standards traceability

- ▶ Traceable to USP monograph methods, particularly API methods and as applicable General Chapters and drug product methods
  - Assigned value on the label is based on mass balance approach for most Reference Standards
- ▶ Mass Balance takes into account Impurities, Water, Residual Solvents, Loss on Drying, Inorganic Impurities (Sulfated ash / Residue on Ignition)
- ▶ Organic Impurities content used in the mass balance value may also be traceable to methods other than compendial methods



## USP Assay Reference Standards traceability



- ▶ Some testing for the proposed lots is traceable to the current / previous lots of USP RS for the purpose of confirmation
  - Other compendial standards, e.g. EP Chemical Reference Substances are used
- ▶ Mass balance and assay differences are further investigated by orthogonal methods such as qNMR
- ▶ Physical traceability, particularly if required by the monograph, is to the polymorph by XRPD

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## USP Impurity Reference Standards traceability



- ▶ Impurity Standards Traceability: Quantitative and Qualitative
- ▶ API Methods with modification to concentrations and Organic Impurities HPLC parameters
- ▶ Qualitative Standards: No label value is assigned
- ▶ Quantitative Impurities Standards are traceable to API methods
- ▶ As applicable, current / previous lots of standards are also used
- ▶ If Quantitative Impurity Standards are provided as mixtures, for example, x% of Impurity in API or inactive ingredients
  - Label Value is provided based on the assay against pure Reference Standard

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## USP Antibiotic Reference Standard traceability



- ▶ Applicable only to antibiotics Reference Standard with USP <81> testing
- ▶ The assigned value is in USP Units against International Standard, when available
- ▶ The assigned value is traceable to the current lot International Standard (WHO)
- ▶ If an International Standard is not available then testing is performed against the current lot of the USP RS
- ▶ Study is conducted using International Standard (WHO) and Current Lot of USP RS
- ▶ If available other compendial standards, for example EP, are also used in the study
  - Example

Standard	Mean (µg/mg)	95% CI
WHO IS	671.2	634.0 – 708.4
EP CRS	670.5	636.8 – 704.1
USP Lot M1J001	668.5	634.0 – 694.0

## Secondary standards traceability



- ▶ USP Reference Standards as pure chemical substances are qualified as primary standards with assigned value based on a mass balance calculation
- ▶ Secondary Standards: Label value is assigned based on the assay (%w/w) testing against a standard whose label value was assigned based on mass balance
  - When necessary, the label value may be assigned by comparison to another material
  - Some USP RS are supplied as mixtures, in which case assignments by mass balance is not possible
  - Certain reference materials are available in very limited quantities
  - Botanical extracts and certain food ingredients contain extraneous materials
  - Solution preparations
  - Solvent mixtures
  - Mixtures are tested against pure substances
  - Pure substances are procured, characterized prior to use

## Conclusion

- ▶ USP Reference Standards are thoroughly characterized physical materials
  - Characterized using the method beyond the monograph methods, particularly with respect to chemical identification
- ▶ USP Reference Standards are traceable to analytical method in the monographs
- ▶ USP antibiotic reference standards are traceable to IS Standards, if available
- ▶ Secondary Standards, when required, are tested against pure materials with assigned mass balance
- ▶ USP Reference Standards are suitable for Compendial Use as per the analytical methods defined in the monograph
  - Any non-compendial use is the responsibility of the user
- ▶ USP Develops standards for non-USP Compendial use based on the need and/or industry interest

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## Become a USP Volunteer Expert

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- ▶ Share expertise and collaborate with colleagues worldwide
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Currently looking for volunteering candidates with experience in:

- ▶ Development and characterization of reference standards
- ▶ Metrology and ISO reference standards guidelines
- ▶ Chemical medicines, excipients, biologics, and dietary supplements

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# Questions



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# Thank You



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# Reference standards for system suitability

Dr Bart Blanchaert

Study Director  
Analytical Chemistry Division  
Laboratory Department EDQM  
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## Outline

- Scope
- Introduction on SST
- Techniques
  - SST criteria in LC / GC
- Types of RS



# Scope

- European Pharmacopoeia reference standard
  - Chemical reference substance (CRS)
  - Herbal reference standard (HRS)
- Techniques
  - Chromatographic separation techniques (2.2.46.)
  - Capillary electrophoresis (2.2.47.)
- Miscellaneous (out of scope)
  - Water: micro determination (2.5.32)
  - Atomic absorption spectrometry (2.2.23)
  - ...

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# System suitability: introduction

- Goal: adequate performance of the system
- System: Several components
  - Equipment → qualified
  - Stationary and mobile phase
  - Environment (temperature)
- RS for system suitability typical for compendial methods
  - Actual conditions may vary
  - Data generated under proper experimental conditions
- No results generated
- Integral part of the method

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# System suitability: introduction

- Ph. Eur. Chapter 2.2.46.: Chromatographic separation techniques:

- Criteria for all systems:

- Peak used for quantification in reference solution : symmetry factor between 0.8 – 1.5
- Maximal RSD for a series of injections of the reference solution

Table 2.2.46.-1. – Repeatability requirements

	Number of individual injections			
	3	4	5	6
<i>B</i> (per cent)	Maximum permitted relative standard deviation			
2.0	0.41	0.59	0.73	0.85
2.5	0.52	0.74	0.92	1.06
3.0	0.62	0.89	1.10	1.27

CRS !

- LOQ ( $S/N = 10$ )  $\leq$  disregard limit

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# System suitability: introduction

- Ph. Eur. Chapter 2.2.46.: Chromatographic separation techniques:

- Throughout the chromatographic procedure → verification scheme

- Criteria specific for individual monograph

- Adjustment of chromatographic conditions

- Responsive adjustment
- Within given tolerances
- For critical parameters: defined in the monograph

## Liquid chromatography: isocratic elution

**Composition of the mobile phase:** the amount of the minor solvent component may be adjusted by  $\pm 30$  per cent relative or  $\pm 2$  per cent absolute, whichever is the larger (see example above); no other component is altered by more than 10 per cent absolute.

**pH of the aqueous component of the mobile phase:**  $\pm 0.2$  pH, unless otherwise prescribed, or  $\pm 1.0$  pH when non-ionisable substances are to be examined.

**Concentration of salts in the buffer component of a mobile phase:**  $\pm 10$  per cent.

**Flow rate:**  $\pm 50$  per cent; a larger adjustment is acceptable when changing the column dimensions (see the formula below).

**Temperature:**  $\pm 10$  °C, where the operating temperature is specified, unless otherwise prescribed.

**Detector wavelength:** no adjustment permitted.

**Injection volume:** may be decreased, provided detection and repeatability of the peak(s) to be determined are satisfactory; no increase permitted.

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# Techniques

- Thin-layer chromatography (2.2.27)
  - Gas chromatography (2.2.28)
  - Liquid chromatography (2.2.29)
  - Size-exclusion chromatography (2.2.30)
- 2.2.46
- Capillary electrophoresis (2.2.47)

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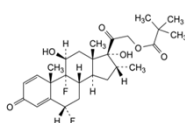
# Techniques



01/2008:1327  
corrected 6.0

## FLUMETASONE PIVALATE

Flumetasoni pivalas



$C_{27}H_{40}F_2O_6$   
[2002-29-1]

$M_r$  494.6

**Results B:** the principal spot in the chromatogram obtained with the test solution is similar in position, colour in daylight, fluorescence in ultraviolet light at 365 nm and size to the principal spot in the chromatogram obtained with reference solution (a).

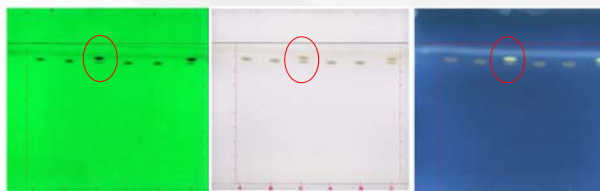
**System suitability:** reference solution (b):

- the chromatogram shows 2 clearly separated spots.

### B. Thin-layer chromatography (2.2.27).

**Reference solution (a).** Dissolve 10 mg of flumetasone pivalate CRS in acetone R and dilute to 10 mL with the same solvent.

**Reference solution (b).** Dissolve 10 mg of desoxycortone acetate CRS in acetone R and dilute to 10 mL with the same solvent. Dilute 5 mL of this solution to 10 mL with reference solution (a).



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04/2018:1506

**DEXTRAN 1 FOR INJECTION**

Dextranum 1 ad iniectionabile

**Molecular-mass distribution.** Size-exclusion chromatography (2.2.30).**Test solution.** Dissolve 6.0-6.5 mg of the substance to be examined in 1.0 mL of the mobile phase.**Reference solution (a).** Dissolve 6.0-6.5 mg of dextran 1 CRS in 1.0 mL of the mobile phase.**Reference solution (b).** Dissolve the contents of a vial of isomaltotriose CRS in 1 mL of the mobile phase, and mix. This corresponds to approximately 45 µg of isomaltotriose (3 glucose units), approximately 45 µg of isomaltotriose (9 glucose units), and approximately 60 µg of sodium chloride per 100 µL.

## Techniques

**Identification of peaks:** use the chromatogram obtained with reference solution (b) to identify the peaks due to isomaltotriose, isomaltotriose and sodium chloride.

Determine the peak areas. Disregard any peak due to sodium chloride. Calculate the average relative molecular mass  $M_w$  and the amount of the fraction with less than 3 and more than 9 glucose units, of dextran 1 CRS and of the substance to be examined, using the following expression:

$$M_w = \sum w_i \times m_i$$

$M_w$  = average molecular mass of the dextran;

$m_i$  = molecular mass of oligosaccharide  $i$ ;

$w_i$  = weight proportion of oligosaccharide  $i$ .

**System suitability:** the values obtained for dextran 1 CRS are within the values stated on the label.

**2.2 Analytical information related to intended use**

The "as is" content is

: Average molecular mass: 930 - 1000

Fraction with less than 3 glucose units: 8.0 to 10.5%

Fraction with more than 9 glucose units: 10.0 to 12.5%

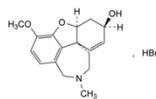
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01/2017:2366  
corrected 9.6**GALANTAMINE HYDROBROMIDE**

Galantamini hydrobromidum



C<sub>17</sub>H<sub>21</sub>BrNO<sub>3</sub>  
[1953-04-4]

$M_r$  368.3

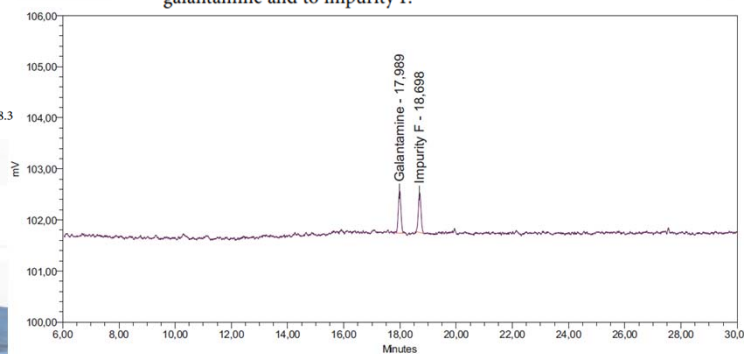
**Enantiomeric purity** for galantamine produced by a synthetic process. Capillary electrophoresis (2.2.47). Prepare the solutions immediately before use.

## Techniques

**Reference solution (a).** Dissolve 5 mg of galantamine racemic mixture CRS in 10.0 mL of water R. Dilute 1.0 mL of this solution to 100.0 mL with water R. Filter through a membrane filter (nominal pore size 0.22 µm).

**System suitability:** reference solution (a):

- **resolution:** minimum 2.5 between the peaks due to galantamine and to impurity F.



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## SST criteria in LC/GC

- Selectivity
  - Resolution
  - Peak-to-valley ratio
  - Baseline separation
- Repeatability of response
- Sensitivity: S/N
- Similarity to reference chromatogram

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## SST criteria in LC/GC

### Resolution ( $R_s$ )

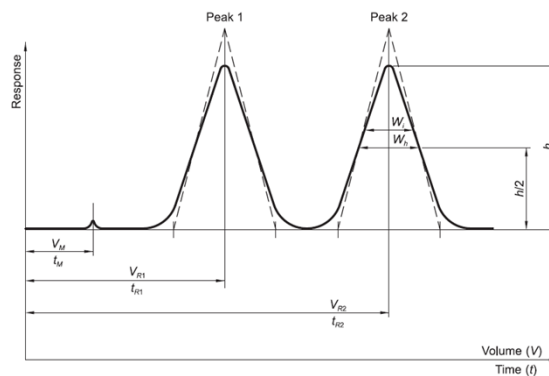
The resolution between peaks of 2 components (Figure 2.2.46.-1) may be calculated using the following equation:

$$R_s = \frac{1.18 (t_{R2} - t_{R1})}{w_{h1} + w_{h2}}$$

$t_{R2} > t_{R1}$

$t_{R1}, t_{R2}$  = retention times of the peaks;

$w_{h1}, w_{h2}$  = peak widths at half-height.



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# SST criteria in LC/GC

01/2017:1378



## VALPROIC ACID

Acidum valproicum



C<sub>8</sub>H<sub>16</sub>O<sub>2</sub>  
[99-66-1]

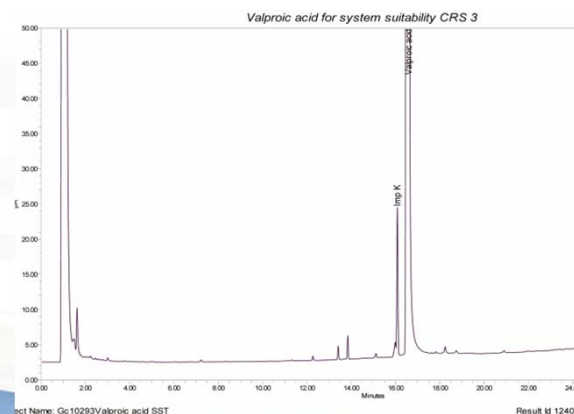
M<sub>r</sub> 144.2

**Related substances.** Gas chromatography (2.2.28).

*Reference solution (a).* Dissolve 5 mg of valproic acid for system suitability CRS (containing impurity K) in 1.0 mL of heptane R.

**System suitability:** reference solution (a):

- **resolution:** minimum 2.0 between the peaks due to impurity K and valproic acid.



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## p/v ratio

- **Definition (2.2.46.):**

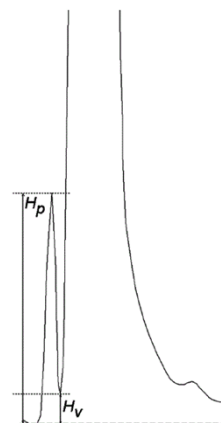
### Peak-to-valley ratio (p/v)

The peak-to-valley ratio may be employed as a system suitability criterion in a test for related substances when baseline separation between 2 peaks is not achieved (Figure 2.2.46.-6).

$$p/v = \frac{H_p}{H_v}$$

$H_p$  = height above the extrapolated baseline of the minor peak;

$H_v$  = height above the extrapolated baseline at the lowest point of the curve separating the minor and major peaks.



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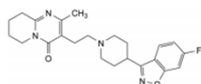
14





## RISPERIDONE

## Risperidonum



**C<sub>23</sub>H<sub>27</sub>FN<sub>4</sub>O<sub>2</sub>**  
[106266-06-2]

$M, 410.5$

**Related substances.** Liquid chromatography (2.2.29).

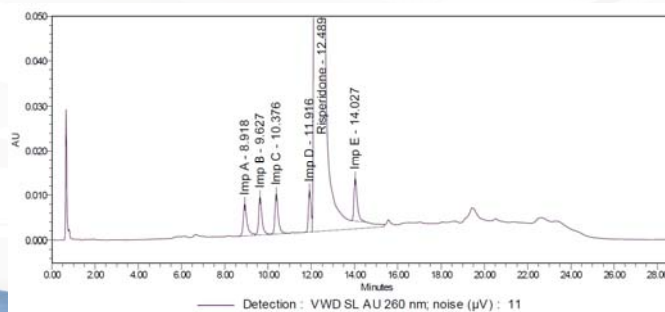
**Test solution.** Dissolve 0.100 g of the substance to be examined in *methanol R* and dilute to 10.0 mL with the same solvent.

**Reference solution (a).** Dissolve 10 mg of risperidone for system suitability CRS (containing impurities A, B, C, D and E) in 1.0 mL of methanol R.

p/v ratio

*System suitability*: reference solution (a):

- the chromatogram obtained is similar to the chromatogram supplied with *risperidone for system suitability CRS*;
- *peak-to-valley ratio*: minimum 1.5, where  $H_p$  = height above the baseline of the peak due to impurity D and  $H_v$  = height above the baseline of the lowest point of the curve separating this peak from the peak due to risperidone.



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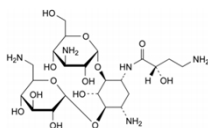
## SST criteria in LC/GC



07/2014:1289

## AMIKACIN

## Amikacinum



**C<sub>22</sub>H<sub>43</sub>N<sub>5</sub>O<sub>13</sub>**  
[37517-28-5]

$M_*$  585.6

*Content:* 96.5 per cent to 102.0 per cent (anhydrous substance).

### ASSAY

Liquid chromatography (2.2.29).

**Reference solution.** Dissolve 50.0 mg of amikacin CRS in the mobile phase and dilute to 10.0 mL with the mobile phase.

**System suitability:** reference solution:

- *repeatability*: maximum relative standard deviation of 1.5 per cent after 6 injections.

Table 2.2.46.-1. – *Repeatability requirements*

	Number of individual injections			
	3	4	5	6
<i>B</i> (per cent)	Maximum permitted relative standard deviation			
2.0	0.41	0.59	0.73	0.85
2.5	0.52	0.74	0.92	1.06
3.0	0.62	0.89	1.10	1.27

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# SST criteria in LC/GC

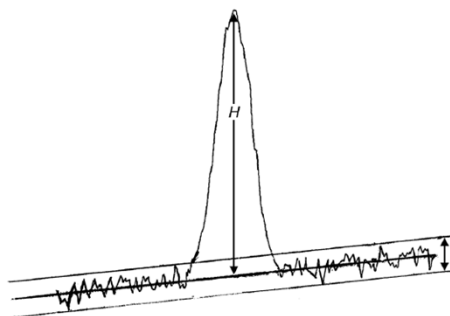
## Signal-to-noise ratio (S/N)

The short-term noise influences the precision of quantification.  
The signal-to-noise ratio is calculated using the following equation:

$$S/N = \frac{2H}{h}$$

$H$  = height of the peak (Figure 2.2.46.-7) corresponding to the component concerned, in the chromatogram obtained with the prescribed reference solution, measured from the maximum of the peak to the extrapolated baseline of the signal observed over a distance equal to at least 5 times the width at half-height;

$h$  = range of the noise in a chromatogram obtained after injection or application of a blank, observed over a distance equal to at least 5 times the width at half-height of the peak in the chromatogram obtained with the prescribed reference solution and, if possible, situated equally around the place where this peak would be found.



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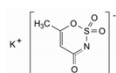
# SST criteria in LC/GC



01/2017:1282

## ACESULFAME POTASSIUM

Acesulfamum kalicum



### Impurity B. Liquid chromatography (2.2.29).

**Test solution.** Dissolve 0.100 g of the substance to be examined in water R and dilute to 10.0 mL with the same solvent.

**Reference solution (a).** Dissolve 4.0 mg of acesulfame potassium impurity B CRS in water R and dilute to 100.0 mL with the same solvent. Dilute 1.0 mL of the solution to 200.0 mL with water R.

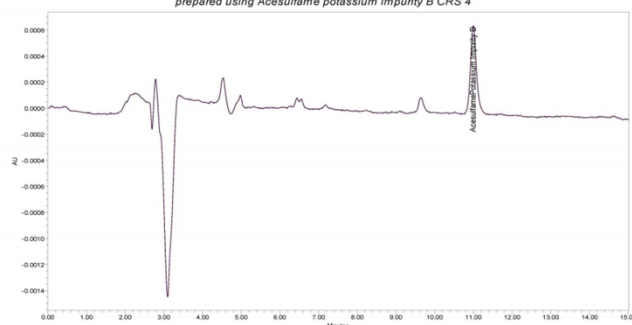
### System suitability:

- **signal-to-noise ratio:** minimum 10 for the peak due to impurity B in the chromatogram obtained with reference solution (a);

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### LIQUID CHROMATOGRAPHY REPORT

Reference solution (a)  
prepared using Acesulfame potassium impurity B CRS 4



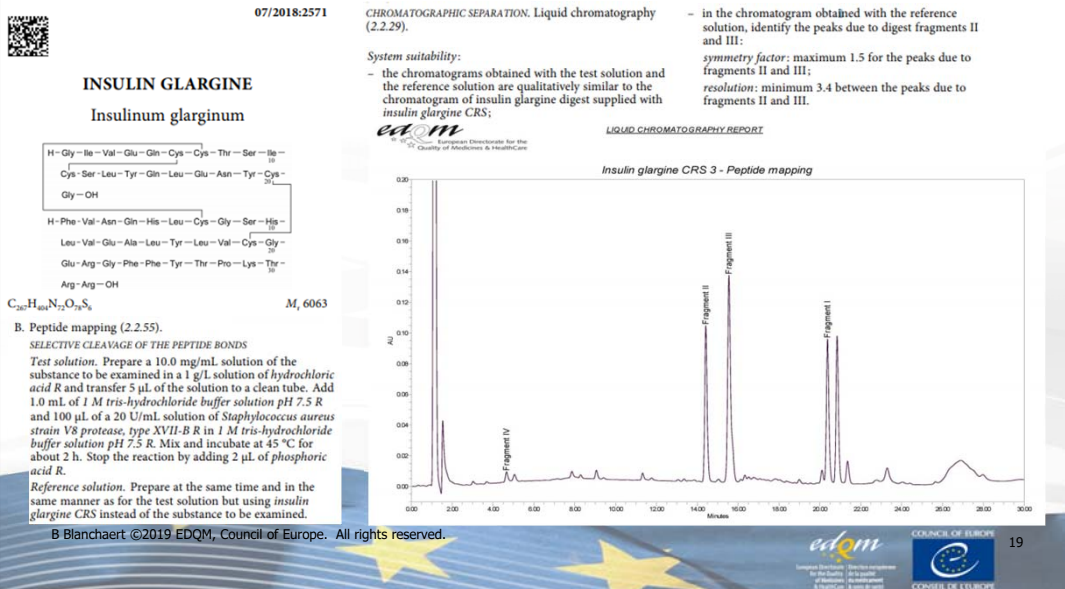
B Blanchaert ©2019 EDQM, Council of Europe. All rights reserved. Project Name: LC08178Acesulfam imp B Result id 1284

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# SST criteria in LC/GC

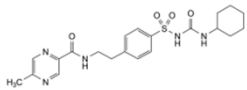


## Types of RS

- Pure reference standard
  - Subject of a monograph or impurity
  - Key attribute:
    - Fitness for purpose (in case of SST use)
  - Compliance with monograph if applicable
  - Advantages:
    - + Stability: less likely to have incompatibilities (compared to mixture)
    - + Control of concentration
    - + Batch continuity and sustainability
  - First choice

04/2019:0906

**GLIPIZIDE**  
Glipizidum



$C_{21}H_{27}N_5O_5S$   
[29094-61-9]

$M_r$  445.5

**Related substances.** Liquid chromatography (2.2.29).

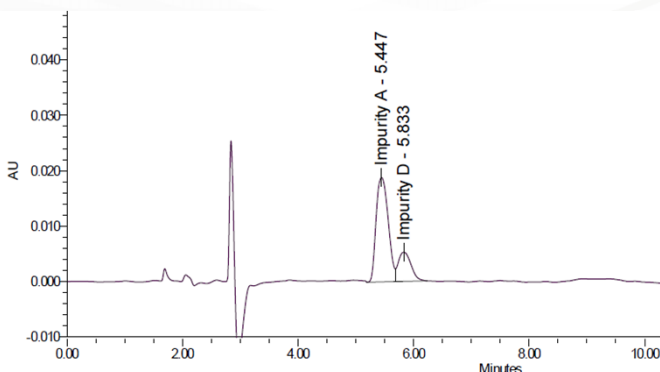
**Reference solution (b).** Dissolve 6.0 mg of glipizide impurity A CRS in the solvent mixture and dilute to 100.0 mL with the solvent mixture. Dilute 1.0 mL of the solution to 50.0 mL with the solvent mixture.

**Reference solution (d).** Dissolve 2 mg of glipizide impurity D CRS in the solvent mixture and dilute to 250 mL with the solvent mixture. Dilute 1 mL of the solution to 20 mL with reference solution (b).

**Types of RS**

**System suitability: reference solution (d):**

- **peak-to-valley ratio:** minimum 2.0, where  $H_p$  = height above the baseline of the peak due to impurity D and  $H_v$  = height above the baseline of the lowest point of the curve separating this peak from the peak due to impurity A.



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**Types of RS**

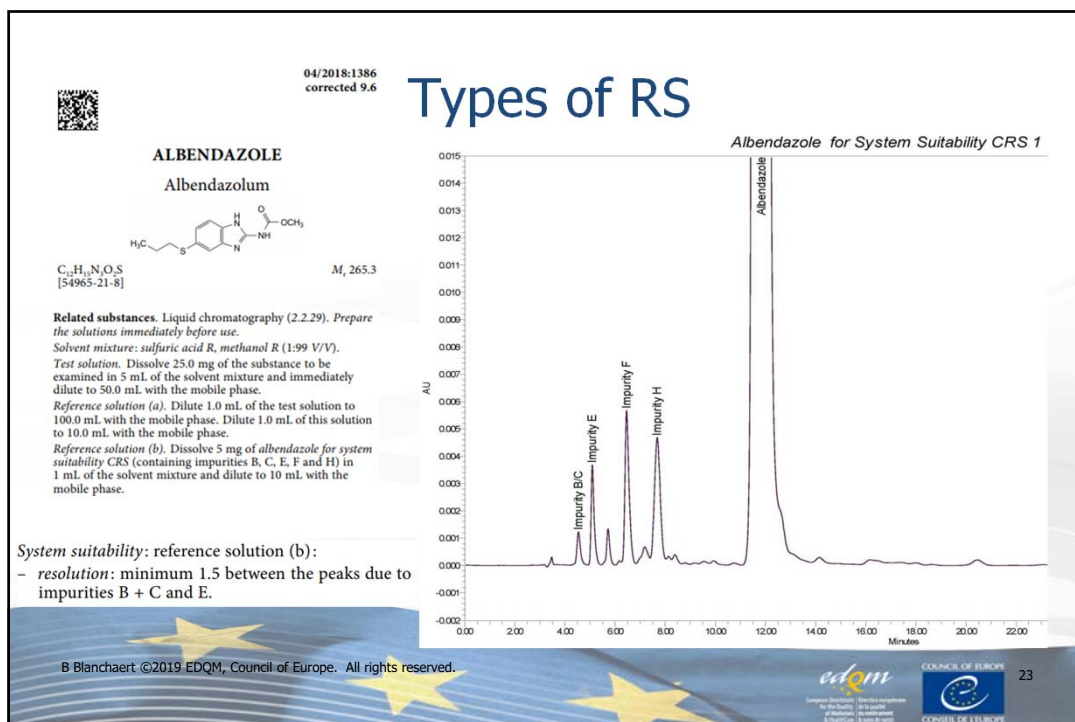
- **Mixture (normal production batch)**
  - ... for system suitability CRS
  - Key attribute: fitness for purpose
  - Impurities spiked for identification
  - Leaflet chromatogram may be provided
  - **Advantages:**
    - + Representative for what user will observe
  - **Drawback**
    - Batch continuity
    - Sustainability
    - Linked to monograph which may evolve

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## Types of RS

- **Mixture (compounded)**
  - With or without substance subject of monograph
  - Key attribute: fitness for purpose
  - Leaflet chromatogram may be provided
  - **Advantages:**
    - + Better control of concentration
    - + Batch continuity
  - **Drawback**
    - Increased likelihood of stability issues (high surface, residual solvents, amorphous)
    - Linked to monograph which may evolve

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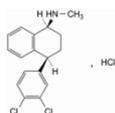


04/2019:1705

## Types of RS

### SERTRALINE HYDROCHLORIDE

Sertralini hydrochloridum



C<sub>17</sub>H<sub>18</sub>Cl<sub>2</sub>N  
[79559-97-0]

M<sub>r</sub> 342.7

**Related substances.** Gas chromatography (2.2.28): use the normalisation procedure.

**Test solution.** Introduce 0.250 g of the substance to be examined into a 15 mL stoppered centrifuge tube, add 2.0 mL of methanol R and 0.20 mL of a 25 per cent solution of potassium carbonate R and mix in a vortex mixer for 30 s. Add 8.0 mL of methylene chloride R, stopper the tube and mix in a vortex mixer for 60 s. Add 1 g of anhydrous sodium sulfate R, mix well and then centrifuge for about 5 min.

**Reference solution (a).** Dissolve the contents of a vial of sertraline for peak identification CRS (containing impurities A, B, C and F) in 0.2 mL of methylene chloride R.

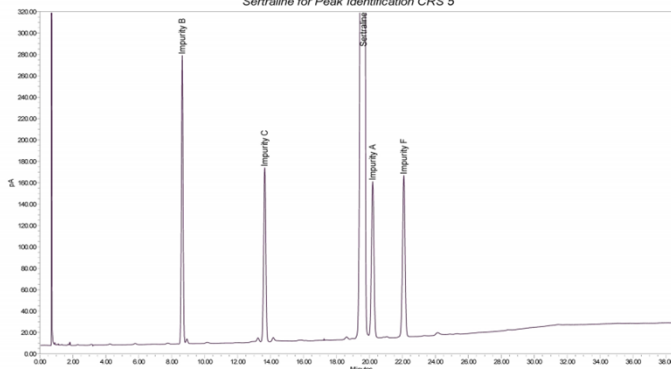
**System suitability:** reference solution (a):

- peak-to-valley ratio: minimum 15, where  $H_v$  = height above the baseline of the peak due to impurity A and  $H_s$  = height above the baseline of the lowest point of the curve separating this peak from the peak due to sertraline.

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GAS CHROMATOGRAPHY REPORT

Sertraline for Peak Identification CRS 5



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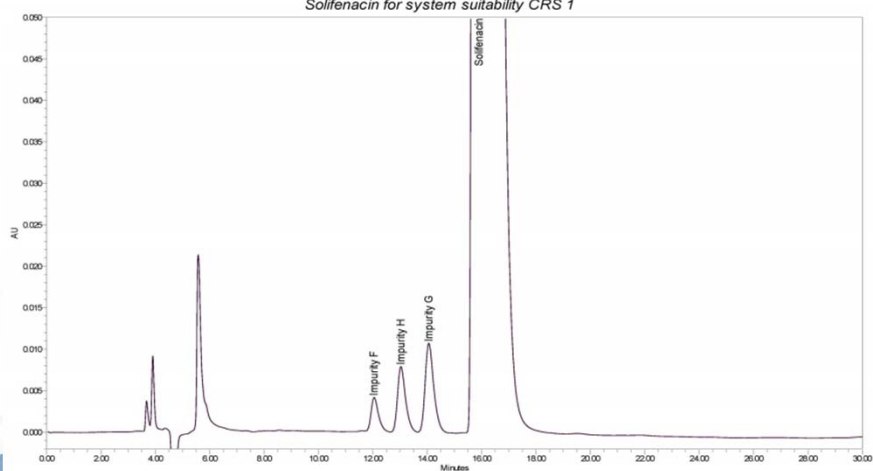
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## Types of RS

Solifenacin for system suitability CRS 1



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# Thank you very much for your attention.

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SANOFI 

**International Symposium  
on Pharm. Reference Standards**  
13-14 March 2019, Strasbourg, France

## **INTERNATIONAL SYMPOSIUM ON PHARMACEUTICAL REFERENCE STANDARDS**

### **Use of Reference Standards and Quality Control: Experiences and Unmet Needs**

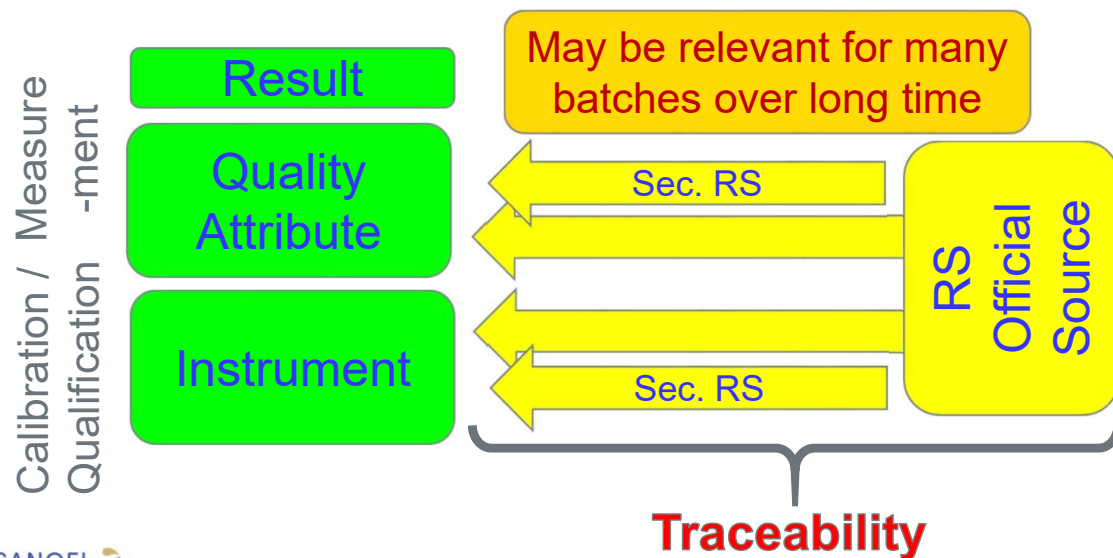
**Joachim Ermer**

**Industrial Quality & Compliance, Chemistry Frankfurt**

SANOFI 

| 2 <

## Impact of Reference Standards (RS)



## Use of Official Reference Standards

- ICH Q7, 11.17: If obtained from an officially recognized source, normally used without testing if stored under prescribed conditions.
- FDA: Reference standards from USP/NF and other official sources do not require further characterization.
- **Responsibility of Compendia / official source**
  - Accuracy of characterisation and provided information (e.g. assigned content)
  - Ongoing suitability (stability)
- **Minimisation of risk when using official RS**



## Official RS: Responsibility of User

---

- **Correct use**
  - Identification (qualitative) or content (quantative)
  - According to instructions (e.g. „immdiate use“)
  - Use of content as declared („as is“ or e.g. „anhydrous“)
- **Correct storage (including controls)**
- **Check of validity at time of use**
- **Any further use must be assessed and justified**
- **Prevention of cross-contamination in case of multiple use (USP RS)**

## Unmet Needs: Assignment of Content

---

- **USP General Chapter <11> USP Reference Standards**
  - „For Reference Standards that do not bear a property value or calculation value on the label or in accompanying documentation, assume the Reference Standard is 100.0% pure for compendial quantitative applications.“
- **Ph.Eur. 5.12: Reference Standards**
  - Impurity CRS: “.. Where (the preferred minimum content of 95%) is achieved the assigned content of the CRS is not given and it is considered to be 100.0 %.”
- **Some CRS for quantification have no assigned content.**
  - E.g. Clobazam impurity A, Metamizol imp. A, Fexofenadine imp. B
- **Risk of misinterpretation of use ➔**  
**All RS for quantification should have an assigned content**



## Unmet Need: Instruction for Immediate Use

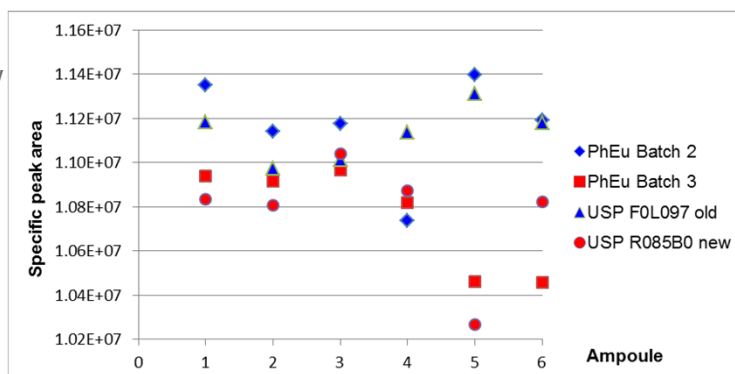
- **Ph.Eur. CRS Information Leaflet**
  - “Once the container has been breached, stability of the contents cannot be guaranteed. It is for immediate use.”
- **Is this always justified by substance properties?**
- **Permission of multiple use is certainly justified in some cases, e.g.**
  - RS for qualitative use (e.g. identification)
  - No relevant water uptake and degradation for quantitative RS
- **Should be differentiated according to RS stability and use**
  - As in USP <11>: “Some standards (mainly materials with significant handling requirements or materials that are available only in small amounts) are provided in single-use containers.”

## Replacement of Batches with Assigned Content

- **Challenge: Potential shift of results**
  - In case of use of different RS-batches for API and finished product
  - Monitoring of batch release results and/or analytical control samples

- **Example: Insulin Aspart**

- Direct comparison of old/new Ph.Eur. and USP RS
- 6 ampoules each, accord. to monograph method, same series
- Difference
  - CRS 2 – 3: - 3.6%
  - USP old – new: - 3.2%



## What is an Acceptable Uncertainty?

For Establishment or Replacement of an RS

- **Ph.Eur. Chapter 5.12: 4-2-4 Establishment Report**

- “uncertainty is calculated, and where it is less than a predefined value, which is considered to be negligible in relation to the acceptance criteria for the assay..”
- What means “negligible”?

- **Publication of rules would be helpful**

- To improve transparency and understanding
- To provide orientation for establishment of in-house reference standards

## Sanofi Management of Reference Standards

- **Centralised management (subfillings, labelling, certificates, complaints), storage, distribution & coordination by Reference Standards Logistics (RSL) groups**

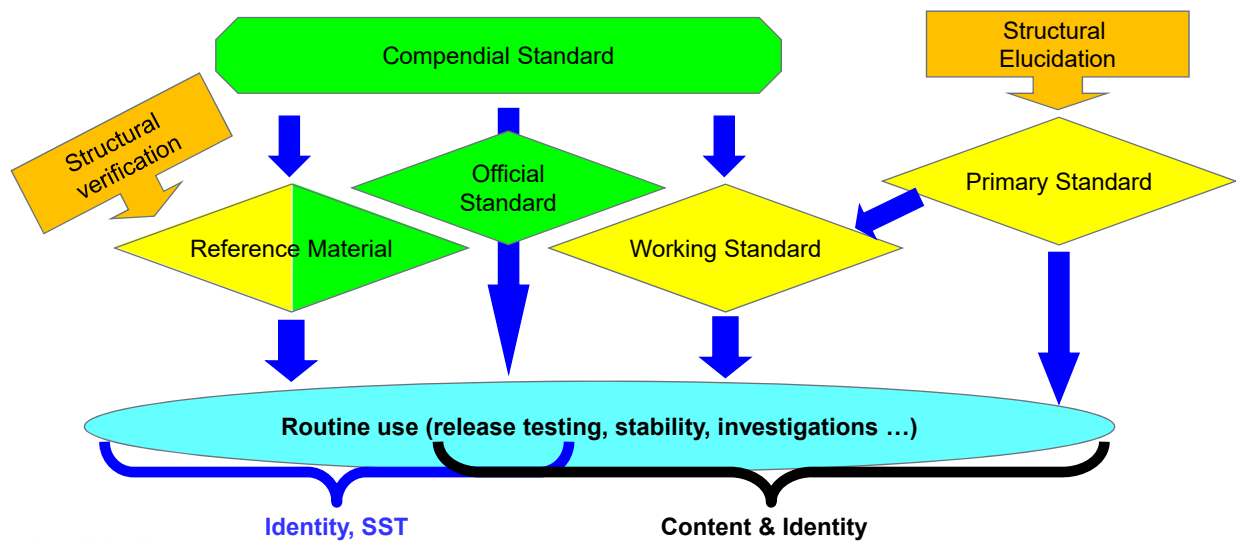
- Frankfurt (Germany) and Sisteron (France)
- Storage facilities: 25°C, 4-8°C, -20°C, -80°C (~120 m<sup>2</sup>)
- ~ 2000 substances ; ~ 400 retests / characterisations per year
- ~ 2500 orders (~ 22 000 vials); ~ 500 „customers“ (in- & external)

- **Decentralised analytical testing by expert laboratories (~25)**

- QC laboratories responsible for routine testing of the respective API
- Process Development labs for special analysis (e.g. structural identification)



## Traceability: Sanofi RS Types



# Welcome



**Empowering a healthy tomorrow**

## **General Chapter <11> USP Reference Standards**

**Holly Chang**

Director, Reference Standards Technical Operations

March 13, 2019



# Agenda

- ▶ Summary of General Chapter <11> USP Reference Standards
- ▶ Summary of revisions
- ▶ Revisions to General Notices



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# 1

## Summary of General Chapter <11> USP Reference Standards

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## Summary of <11> USP Reference Standards



- ▶ The purpose of USP <11> is to inform on USP policies regarding Reference Standards and instruct on appropriate compendial use
- ▶ The chapter has been revised many times over the years as USP's Reference Standards program has grown and changed. The last revision occurred in 2009.
- ▶ The intention of the current revision is to update, clarify and expand USP's approach for developing Reference Standards
- ▶ The revision was published for public comment in the Pharmacopeial Forum 45(1) issued on January 1, 2019
- ▶ Targeted to become official on August 1, 2020



# 2

## Summary of revisions

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## Summary of revisions



### ► Establishment Approaches and Value Assignment

- Includes USP's approach to value assignment
  - Typically by a mass balance determination
  - Can also be assigned by comparison to another material
  - The collaborative study (including the number of laboratories used) is primarily driven by the intended use of the Reference Standard
  - The characterization goes beyond establishment of suitability of use
  - Comparison to the previous lot is performed as additional verification of suitability of use

## Summary of revisions



### ► USP Reference Standards for *USP* or *NF*

- Quantitative – includes both *USP* and *NF* articles and impurity standards
  - Used to support measurements on a mass basis or
  - Used for relative determinations of potency or activity
    - Established by calibration to a primary standard
- Qualitative
  - Identification
  - System suitability
  - Visual (and digital)
- Performance verification
  - Typically required for use in USP General Chapters
  - Used to ensure the proper operation of instrumentation

# Summary of revisions



- ▶ USP Reference Standards for other measurements and determinations
  - USP develops Reference Standards which may not be required in official USP-NF tests or assays including:
    - Food Chemicals Codex (FCC)
    - Herbal Medicines Compendium (HMC)
    - Other regulatory requirements
  - USP may also develop Reference Standards to address common quality issues and challenges which are inherent to technologies which cut across different types of products
  - USP Reference Standards developed for other measurements and determinations are developed under the same Quality Management System as those required in official USP-NF tests or assays

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# Summary of revisions



- ▶ Labeling
  - Labeling includes both the label affixed to the vial and the USP Certificate
    - The USP Certificate may contain additional information such as special handling instructions that the affixed label cannot accommodate

For use with associated USP Certificate. See USP-NF for details at www.usp.org.

**USP REFERENCE STANDARD**

**CROMOLYN SODIUM 500 mg**

Equilibrate a portion of material for two hours (See USP certificate for handling instructions). For quantitative applications, determine the water content titrimetrically at the time of use. Use as is material and correct weight for water content. Use a value of 0.997 mg of cromolyn sodium per mg of material on the anhydrous basis. Keep container tightly closed. Protect from light. Material is hygroscopic.

See certificate for any additional information.  
USP, 12601 Twinbrook Pkwy, Rockville, MD, +1-301-881-0866  
Cat. No. 1150502      Material mfd. in Singapore

LOT: R09940



**Certificate**

**CROMOLYN SODIUM**

[Dipotassium 5,5'-[[2-hydroxytrimethylene]diaryloxy]bis(4-oxo-4H-3-benzopyran-2-carboxylate)]

USP Catalog No.: 1150502  
USP Lot No.: R09940

CAS No.: 15826-37-6  
Molecular Formula:  $C_{22}H_{12}Na_2O_{11}$   
Molecular Weight: 512.33

**Additional information:**

Sample handling for equilibration: Transfer a portion of the material into an appropriate container and keep the material in a controlled humidity environment for about two hours. Mix well, and immediately weigh the equilibrated material for the water test and assay.

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## Summary of revisions

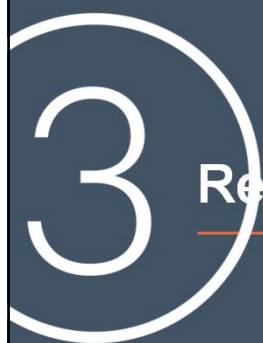


### ▶ Continued Suitability for Use (CSU)

- The CSU section was included to inform of the program
  - All USP Reference Standards are reevaluated throughout their lifecycles to confirm the continued suitability of the material
  - Intervals are established based on collaborative study data, test results from CSU testing and data trending and projections

### ▶ Proper Use

- Label terms were added to instruct on appropriate use of the Reference Standards



## Revisions to General Notices

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## Changes affecting both <11> and General Notice 5.80



- ▶ Delete the text defining USP Reference Standards as “comparison standards in tests and assays”
  - To accommodate USP Reference Standards for performance verification tests
- ▶ Delete the following legacy statement
  - “For Reference Standards that do not bear a property value or calculation value on the label or in accompanying documentation, assume the Reference Standard is 100.0% pure for compendial quantitative applications”

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## Become a USP Volunteer Expert

- ▶ Impact global public health
- ▶ Share expertise and collaborate with colleagues worldwide
- ▶ Add distinction to your career

Currently looking for volunteering candidates with experience in:

- ▶ Development and characterization of reference standards
- ▶ Metrology and ISO reference standards guidelines
- ▶ Chemical medicines, excipients, biologics, and dietary supplements

Visit: <http://www.usp.org/about/volunteer-experts>



# Questions



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# Thank You



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# Stay Connected



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